



1032 #4  
11/1/02

| CERTIFICATE OF MAILING  |                          |                    |                 |
|---|--------------------------|--------------------|-----------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:<br>Commissioner for Patents, Washington, D.C. 20231 on this date. |                          |                    |                 |
| Typed or Printed Name   | Kimberly Williams Zuchke |                    |                 |
| Signature   |                          | Date               | 30 October 2002 |
| <b>INFORMATION<br/>DISCLOSURE STATEMENT</b><br><br>Address to:<br>Commissioner for Patents<br>Washington, D.C. 20231  | Attorney Docket          | GLAD-001CON        |                 |
|   | First Named Inventor     | GOLDSMITH, MARK A. |                 |
|   | Application Number       | 10/072,381         |                 |
|   | Confirmation No.         | 2681               |                 |
|   | Filing Date              | February 6, 2002   |                 |
|   | Group Art Unit           | 1632               |                 |
|   | Examiner Name            | To Be Assigned     |                 |
| Title: "TRANSGENIC RODENTS AND RODENT CELL<br>LINES EXPRESSING HIV CO-RECEPTORS"  |                          |                    |                 |

RECEIVED  
NOV 06 2002  
TECH CENTER 1600/2900

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. A Form PTO-SB/08A listing the references and copies of the cited references accompany this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record.

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. §102.

As applicants have not yet received a first Action on the merits, no fee is believed to be required for filing this Disclosure Statement. If, however, the PTO finds that for some reason a fee is due, our Deposit Account No. 50-0815, Order No. GLAD-001CON may be charged thereon.

Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

Date: 30/10/02

By:   
Karl Bozicevic  
Registration No. 28,807

BOZICEVIC, FIELD & FRANCIS LLP  
200 Middlefield Road, Suite 200  
Menlo Park, CA 94025  
Telephone: (650) 327-3400  
Facsimile: (650) 327-3231

Please type a plus sign (+) inside this box →

+

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

## **Complete if Known**

Application Number 10/072,381  
 Filing Date February 6, 2002  
 First Named Inventor Goldsmith, Mark A.  
 Group Art Unit 1632  
 Examiner Name To Be Assigned  
 Attorney Docket Number GLAD-001CON

RECEIVED

NOV 06 2002

TECH CENTER 1600/290

## **U.S. PATENT DOCUMENTS**

| Examiner<br>Initials <sup>*</sup> | Cite<br>No. <sup>1</sup> | U.S. Patent Documents |                                      | Name of Patentee or Applicant<br>of Cited Documents | Date of Publication<br>of Cited Document<br>MM-DD-YYYY | Pages, columns, lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |
|-----------------------------------|--------------------------|-----------------------|--------------------------------------|---|--|--|
|                                   |                          | Number                | Kind Code <sup>2</sup><br>(if known) |   |  |  |
|                                   |                          |                       |                                      |   |  |  |
|                                   |                          |                       |                                      |   |  |  |

## **FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials <sup>*</sup> | Cite<br>No. <sup>1</sup> | Foreign Patent Documents |                     |                                      | Name of Patentee or<br>Applicant of Cited Documents | Date of Publication<br>of Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|-----------------------------------|--------------------------|--------------------------|---------------------|--------------------------------------|---|--|--|----------------|
|                                   |                          | Office <sup>3</sup>      | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |   |  |  |                |
|                                   |                          |                          |                     |                                      |   |  |  |                |
|                                   |                          |                          |                     |                                      |   |  |  |                |

## **OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS**

| Examiner<br>Initials <sup>*</sup> | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|-----------------------------------|-----------------------|---|----------------|
|                                   | AA                    | KEPPLER et al., "Progress Toward a Human CD4/CCR5 Transgenic Rat Model for De Novo Infection by Human Immunodeficiency Virus Type 1," <i>J. Exp. Med.</i> , 195(6):719-736 (March 18, 2002)   |                |
|                                   | AB                    | WEI et al., "A Novel CDK9-Associated C-Type Cyclin Interacts Directly with HIV-1 Tat and Mediates Its High-Affinity, Loop-Specific Binding to TAR RNA," <i>Cell</i> , 92:451-462 (February 20, 1998)  |                |
|                                   | AC                    | ZHOU et al., "Transcription elongation factor P-TEFb mediates Tat activation of HIV-1 transcription at multiple stages," <i>The EMBO Journal</i> , 17(13):3861-3691 (1998)  |                |
|                                   |                       |   |                |

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.